

03/01/00

ISSUE CLASSIFICATION	
Class	Subclass

U.S. UTILITY Patent Application

QNT O.I.P.E. 2	PATENT DATE
SCANNED MS Q.A. CS	

APPLICATION NO. 09/516792	CONT/PRIOR D	CLASS 705	SUBCLASS 36	ART UNIT 2758	EXAMINER [REDACTED] Karm
------------------------------	-----------------	--------------	----------------	-----------------------------	-----------------------------

TITLE - APPLICANTS-

Steven Wallman

Method and apparatus for trading securities or other instruments on behalf of customers

PTC-2040
12/99[illegible]

<input type="checkbox"/> TERMINAL DISCLAIMER	DRAWINGS			CLAIMS ALLOWED	
	Sheets Drwg.	Figs. Drwg.	Print Fig.	Total Claims	Print Claim for O.G.
<input type="checkbox"/> The term of this patent subsequent to _____ (date) has been disclaimed.	_____ (Assistant Examiner) _____ (Date)			NOTICE OF ALLOWANCE MAILED	
<input type="checkbox"/> The term of this patent shall not extend beyond the expiration date of U.S. Patent. No. _____ _____				ISSUE FEE	
	_____ (Primary Examiner) _____ (Date)	Amount Due	Date Paid		
<input type="checkbox"/> The terminal _____ months of this patent have been disclaimed.	_____ (Legal Instruments Examiner) _____ (Date)			ISSUE BATCH NUMBER	
WARNING: The information disclosed herein may be restricted. Unauthorized disclosure may be prohibited by the United States Code Title 35, Sections 122, 181, and 388. Possession outside the U.S. Patent & Trademark Office is restricted to authorized employees and contractors only.					

Form **PTO-436A**
(Rev. 6/99)

FILED WITH: ☐ DISK (CRF) ☐ FICHE ☐ CD-ROM
(Attached in pocket on right inside flap)

(FACE)

Best Available Copy